

ASA Feb 13- Appendix :Analysis of risks set out in ASA

The risk analysis set out in this grid has been completed against the Trust's risk scoring matrix under which a score of 4 or less is regarded low, between 6 and 9 as moderate and 10 to 25 as significant.

| Risk | Risk description | Mitigation | Risk Score | | |
|--|--|---|------------|------------|-------|
| | | | Impact | Likelihood | Score |
| Ordinary residence | Movement of ordinary residence can create in year pressures and this will be monitored closely through Social Care Programme Board | <ul style="list-style-type: none"> Adherence to protocols by front line teams and to assess the needs of individual only users to ensure that ordinary residence does apply to their circumstances. (Julie Foster to provide assurance this is happening and any protocol is being applied.) Close monitoring of impact through Social Care Programme Board (Monthly reports available and quarterly report to Commissioning for Independence Board.) | 4 | 4 | 16 |
| Risk of capacity to deliver changes | The requirements of this commissioning agreement are the further changes and savings to back office and assessment processes. Capacity in zone teams may impact on the pace of delivery. | <ul style="list-style-type: none"> This is mitigated through assurance from the Trust that operational services at the front end can be delivered in a different way. ASA KPIs include monthly metrics that will demonstrate any reduction in capacity Regular updates to OLG, SCPB and/or CIB highlighting any commissioning/service transformation needs/risks. | 4 | 3 | 12 |
| Care home fees | Council is setting (as separate decision) a two year set of fees within a new banding structure of residential care which may be open to challenge. | <ul style="list-style-type: none"> This is mitigated through a consultation process with providers throughout 2012/13. The EIA action plan will be monitored closely with reports to the SCPB or CIB, as appropriate. | 4 | 3 | 12 |
| Community concern | Concern may be raised in response to implementation of the programme of work outlined in this agreement which may affect the pace of delivery. | <ul style="list-style-type: none"> This is mitigated through the close involvement of, and engagement with, individuals, communities and elected Members. Commissioning & re-specifying advocacy/engagement services and contract management. | 3 | 3 | 9 |

| Risk | Risk description | Mitigation | Risk Score | | |
|----------------------------|---|---|------------|------------|-------|
| | | | Impact | Likelihood | Score |
| | | <ul style="list-style-type: none"> Paper to February CIB meeting to determine whether to renew or change the current advocacy/engagement arrangements with greater clarity on revised specs and public engagement expectations. | | | |
| Acquisition process | The Trust may be acquired by another NHS Foundation Trust and this could result in distraction from delivery of this agreement. | <ul style="list-style-type: none"> This is mitigated through close working between senior officers and the NHS, the Mayor and Councillors, NHS Chairs and Board members. The impact on frontline staff will be minimal and mitigated by frequent staff briefings and updates from senior management | 3 | 2 | 6 |